Spa

Docket No.

248323US2

## IN THE UNITED ASTRACTES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Yoshiki MURAKAMI, et al.

SERIAL NO:

10/768,444

GAU:

3621

FILED:

February 2, 2004

**EXAMINER:** 

FOR:

POWER TRADING RISK MANAGEMENT SYSTEM

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR

Applicant(s) wish to disclose the following information.

#### REFERENCES

The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed
references are attached, where required, as are either statements of relevancy or any readily available English
translations of pertinent portions of any non-English language references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the claims and drawings of the pending application(s) is attached.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### **CERTIFICATION**

☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

□ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Eckhard H. Kuesters

Registration No. 28,870

Joseph A. Scafetta, Jr. Registration No. 26,803

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

I:\EM\EMREL\247s-248s\248323us Transmital LTR..DOC



# **LIST OF RELATED CASES**

	Serial or	Filing or	Inventor/
Docket Number	Patent Number	Issue Date	<u>Applicant</u>
205200US2 PCT	09/807,963	06/01/01	YUJI et al.
248323US2*	10/768,444	02/02/04	MURAKAMI et al.